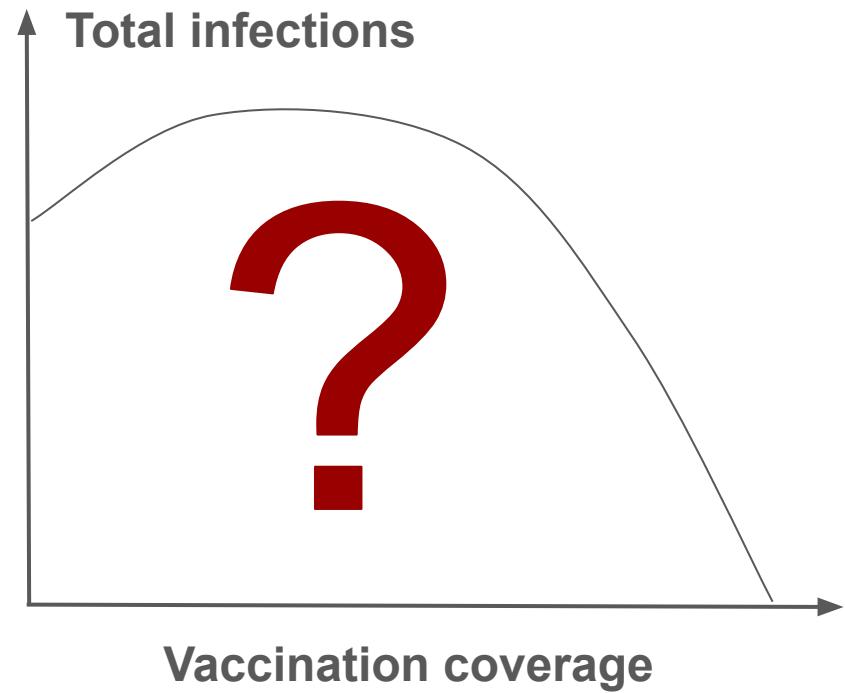
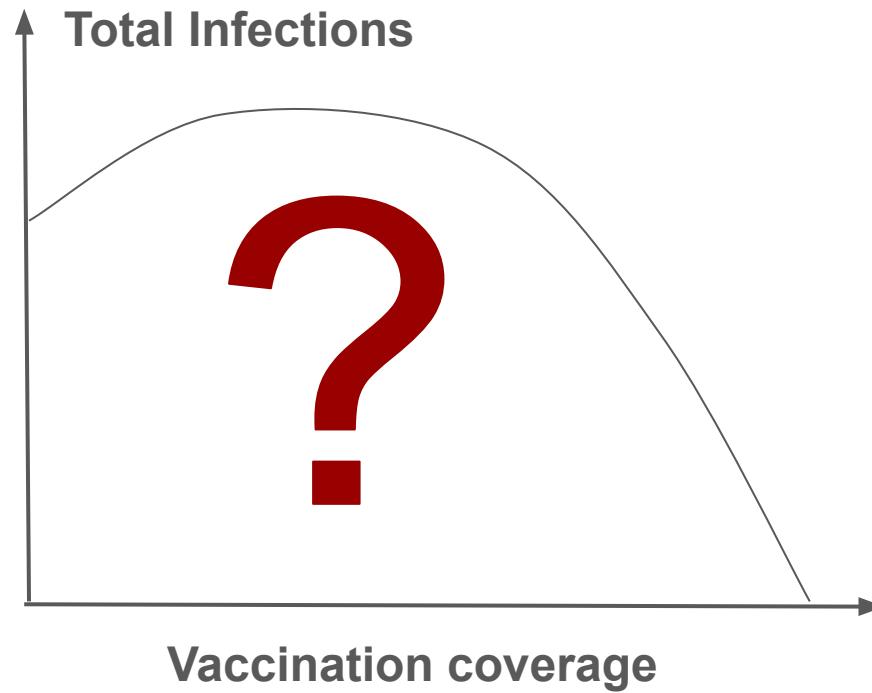
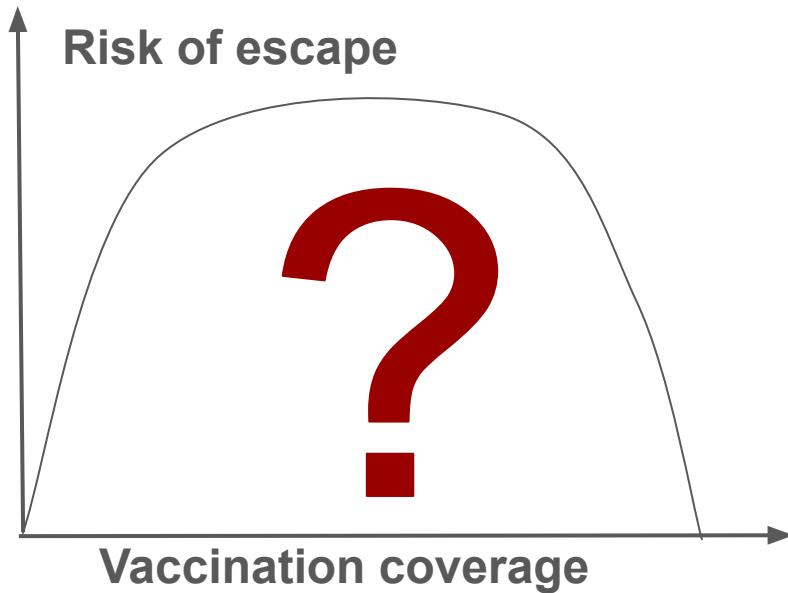


Modelling vaccine escape in a population

Maria A. Gutierrez
University of Cambridge







Vaccine escape in a heterogeneous population insights for SARS-CoV-2 from a simple model

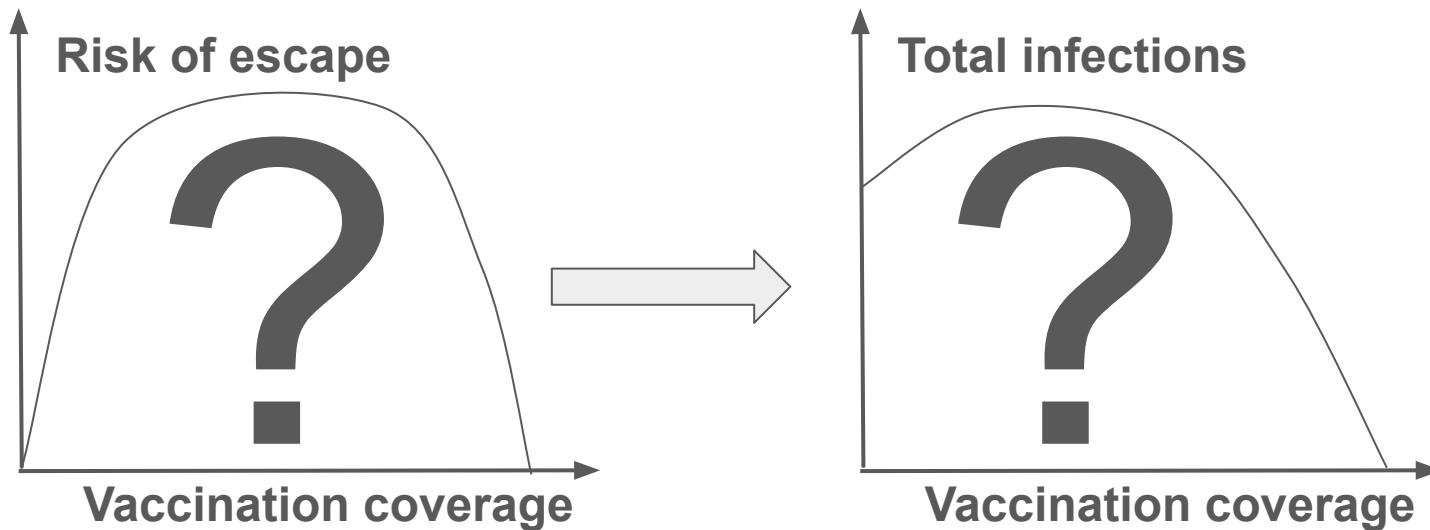
Julia R. Gog^{1,2}, Edward M. Hill^{2,3,4,5}, Leon Danon and Robin N. Thompson^{2,3,4}

Epidemiological and evolutionary considerations of SARS-CoV-2 vaccine dosing regimes

Chadi M. Saad-Roy^{1*}, Sinead E. Morris², C. Jessica E. Metcalf^{3,4}, Michael J. Mina⁵, Rachel E. Baker³, Jeremy Farrar⁷, Edward C. Holmes⁸, Oliver G. Pybus⁹, Andrea L. Graham³, Simon A. Levin³, Bryan T. Grenfell^{3,4,10*}, Caroline E. Wagner^{11*}

Rates of SARS-CoV-2 transmission and vaccination impact the fate of vaccine-resistant strains

Simon A. Rella¹, Yuliya A. Kulikova², Emmanouil T. Dermitzakis³ & Fyodor A. Kondrashov¹



Goals for today:

1. Do intermediate vaccination levels always lead to the **highest risk of vaccine escape**?
2. If so, might the risk of vaccine escape result in **more total infections** at intermediate vaccination levels?

Modelling vaccine escape in a population



Final-year of PhD
with **Julia Gog**

Slides at
marialegriagutierrez.com/talks

Questions/comments/feedback:
mag84@cam.ac.uk

I'm looking for a **postdoc position**:

from Summer/Fall 2025

(evolutionary epidemiology and/or
infectious disease math-modelling)

1. Vaccine breakthrough infections

Gutierrez & Gog 2023, *J. Theoretical Biology*

2. Partial immunity
& reinfections

4. Stochastic
emergence

Unpublished work, in preparation for PhD thesis

3. Infections in
vulnerable hosts

5. Impact of
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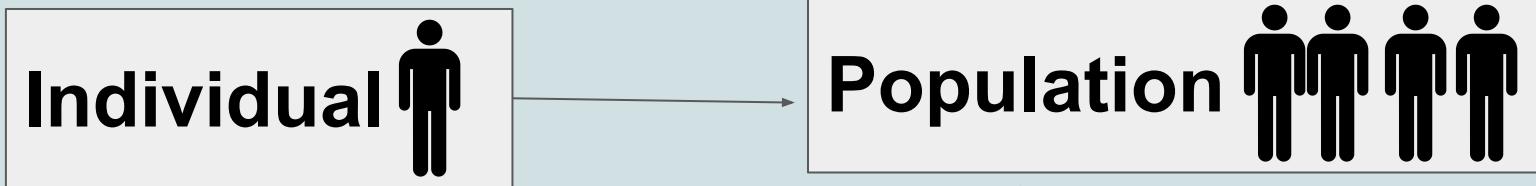
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Simplified scales of selection

not explicitly within-host,
but vaccination status matters!

generation of strains,
(emergence not yet)



$$P(t) \propto (I_U(t) + \theta_E I_V(t))$$

Escape pressure

infections in
unvaccinated hosts

infections in
vaccinated hosts

Relative selection
in vaccinated hosts

Others use just I_V or I_U or a fixed linear combination.

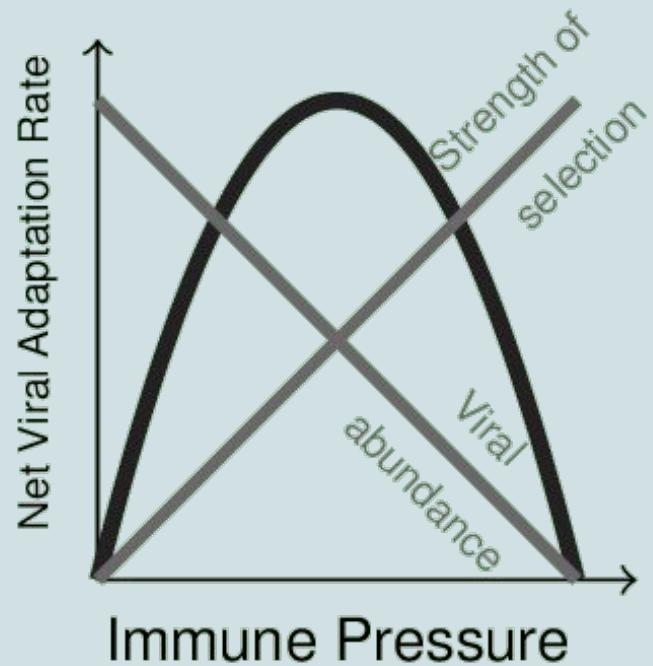
Gog et al 2021, Saad-Roy et al 2021, Thompson et al 2021, Rella et al 2021, Zhang et al 2022

Within-host selection by vaccination status

$$P(t) = I_U(t) + \theta_E I_V(t)$$

$\theta_E > 1?$

If infected, **who is more likely to generate an escape strain?**
Vaccinated or unvaccinated?



Grenfell et al, *Science* 2004

Transient SIR epidemic wave

vaccination coverage c :

vaccines given before outbreak,
permanent partial immunity
against infection θ_S and transmission θ_I

Initial
conditions

$$S_U(0) = 1 - c$$

$$S_V(0) = c\theta_S$$

Polarised
protection
against infection

$$\dot{S}_U = -S_U \lambda$$

Force of infection

$$\dot{S}_V = -S_V \lambda$$

$$\lambda = R_0(I_U + \theta_I I_V)$$

reduced
transmissibility

$$\dot{I}_U = S_U \lambda - I_U$$

$$\dot{I}_V = S_V \lambda - I_V$$

SIR dynamics

Further assumptions:
well-mixing,
homogeneity,
no reinfections,
constant R_0 ,
not time-since-infection,
same infectious period,
no births and deaths.

Analytical final-size solution leads to escape pressure

Initial effective R-number $R_e = R_0(1 - c(1 - \theta_S \theta_I))$

vaccine transmission-blocking

Same ratio vaccinated:unvaccinated through all compartments

$$(S_V, I_V, R_V) = \frac{c\theta_S}{1-c} (S_U, I_U, R_U)$$

Integrated escape pressure $P = \int_0^\infty (I_U + \theta_E I_V) dt = C_U + \theta_E C_V$

...similar to standard SIR final-size

Cumulative final-sizes

$$P = (1 - c(1 - \theta_S \theta_E))(1 + R_e^{-1} W(-R_e e^{-R_e}))$$

"escape-blocking factor"

Lambert W function

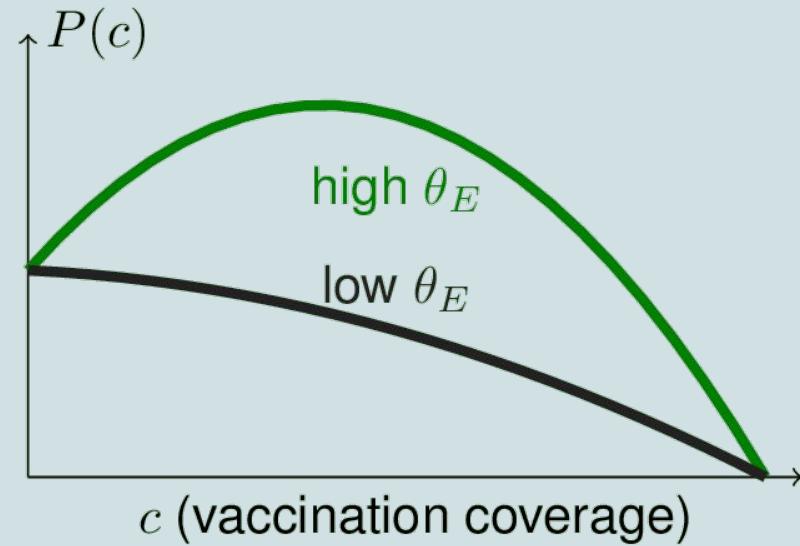
Escape pressure P as a function of vaccination coverage c

$$P = C_U + \theta_E C_V$$

Behaviour of P depends on the relative escape contribution of vaccinees, θ_E

- **Unimodal** if θ_E above threshold
- Decreasing if θ_E below threshold

P always decreases to zero if vaccination coverage is near herd immunity threshold



Gutierrez and Gog, 2023, *JTB*

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escape strain

Are **reinfections** important for vaccine escape?

Before: $P = C_U + \theta_E C_V$

Now: separate hosts by immune status

Escape pressure

$$P = C_N + \theta_E C_P$$

Infections in
immunologically
naïve hosts

Infections in hosts with
partial immunity

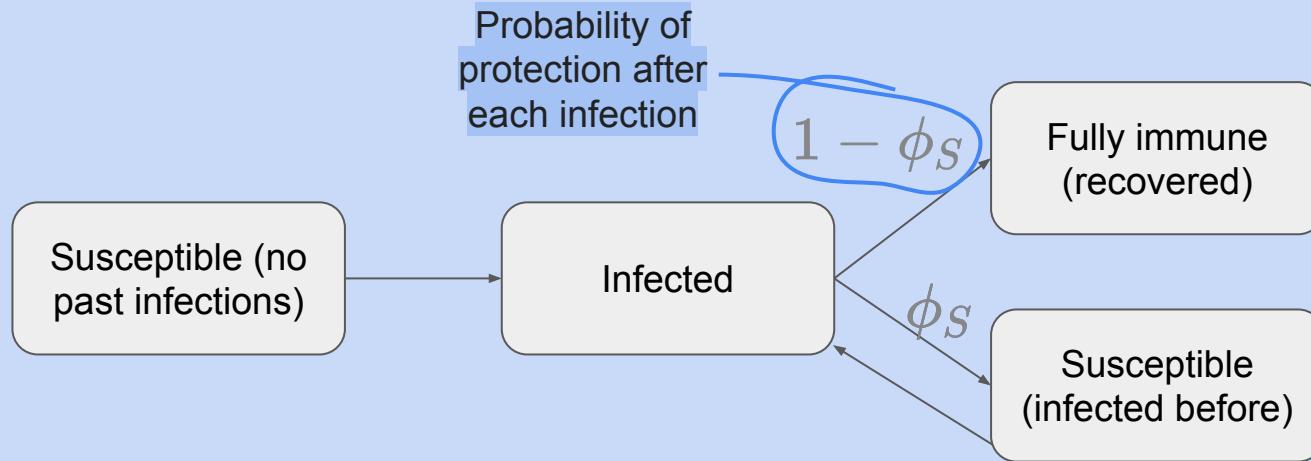
Relative selection in
partially immune hosts

Within-host selection for escape might also be stronger (or weaker) in **reinfections**, relative to naïve hosts, due to **partial immunity** in the host.

Grouped together in C_P :
reinfections in unvaccinated
& all infections in vaccinated

In general, could have different relative selection instead of all θ_E

SIR with **lifelong partial immunity** from infections



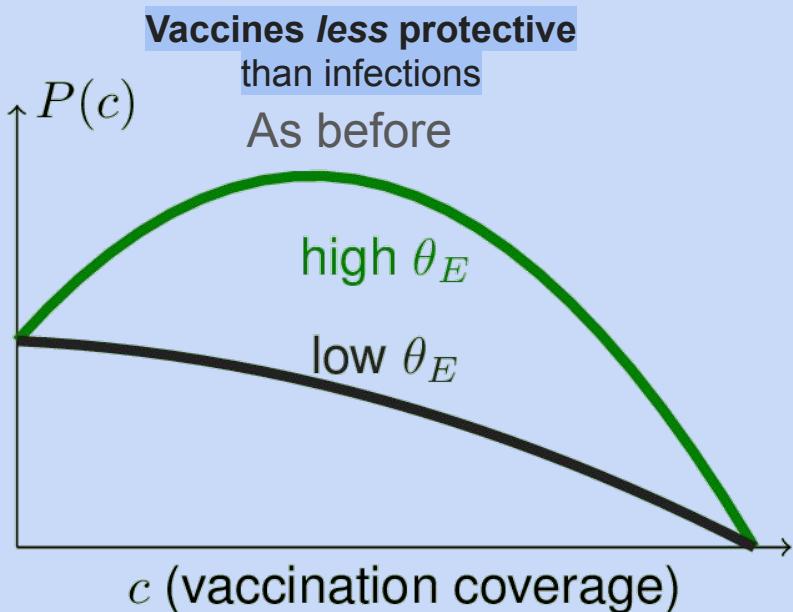
Same epidemic dynamics for vaccinated

As before: $1 - \theta_S$ probability of protection after vaccination
(All hosts equally infectious, allows to find $P(c)$ analytically)

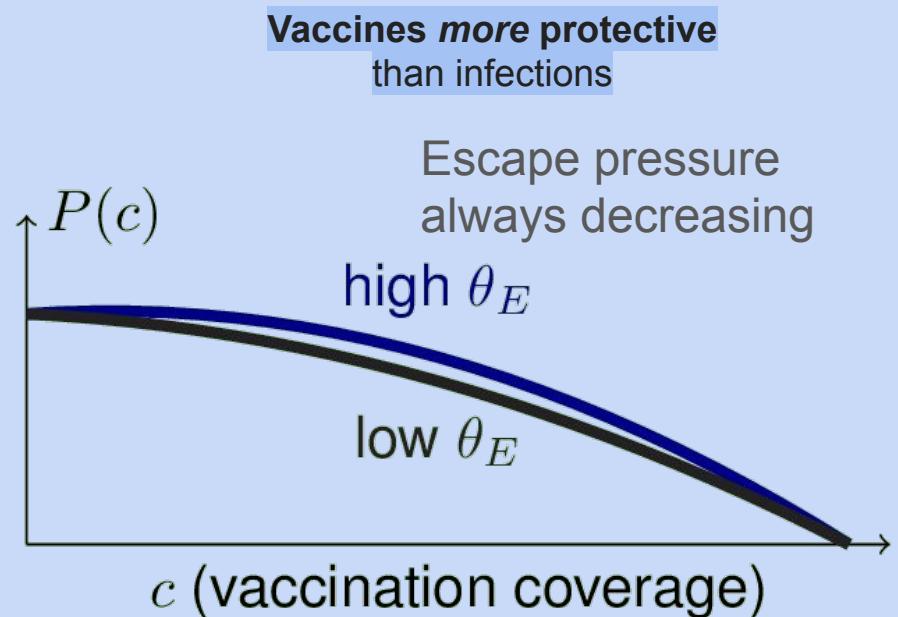
Different behaviour possible if reinfections are likely

Escape pressure $P(c)$ depends on relative protection from vaccines vs infections

$$\theta_S > \phi_S$$



$$\theta_S < \phi_S$$



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How do immunocompromised hosts affect escape?

Immunocompromised hosts (chronic infections) are likely source of antigenic evolution (eg, Omicron)

Split population in **two groups**, with different levels of selection.

(In general, could do $n > 2$ groups)

Before:

$$P = C_U + \theta_E C_V \quad \text{Relative selection } \alpha > 1$$

Now:

Escape pressure

Infections in “healthy” hosts

$$P = C_{U,H} + \theta_E C_{V,H} + \alpha(C_{U,I} + \theta_E C_{V,I})$$

Infections in **immunocompromised hosts**

Same factor θ_E for infections in vaccinees

SIR dynamics with **population heterogeneity**

Assortative mixing

→ Analytic final-size

$$\begin{bmatrix} m^2 & m \\ m & 1 \end{bmatrix}$$

Relative contact rate $m > 1$
in healthy hosts

Contact matrix

Vaccinating first healthy hosts with more contacts
might be best to reduce overall mortality (Gog 2021)

Different vaccination coverages c_H, c_I for each group

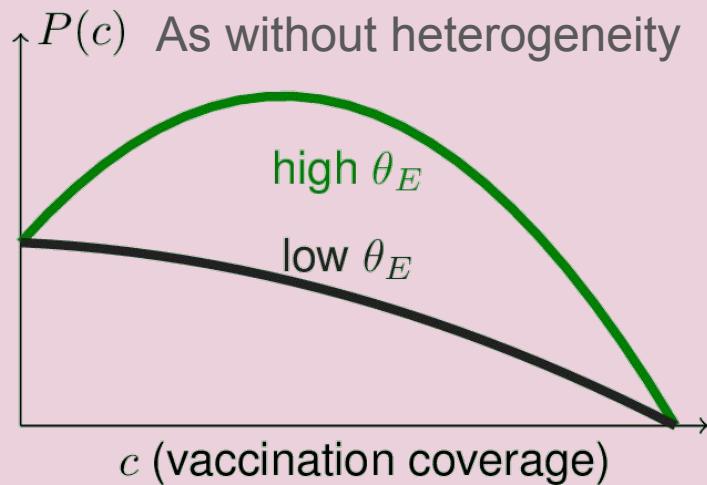
Escape pressure $P(c)$ depends on total coverage $c = c_H + c_I$

But **order of vaccination matters!**

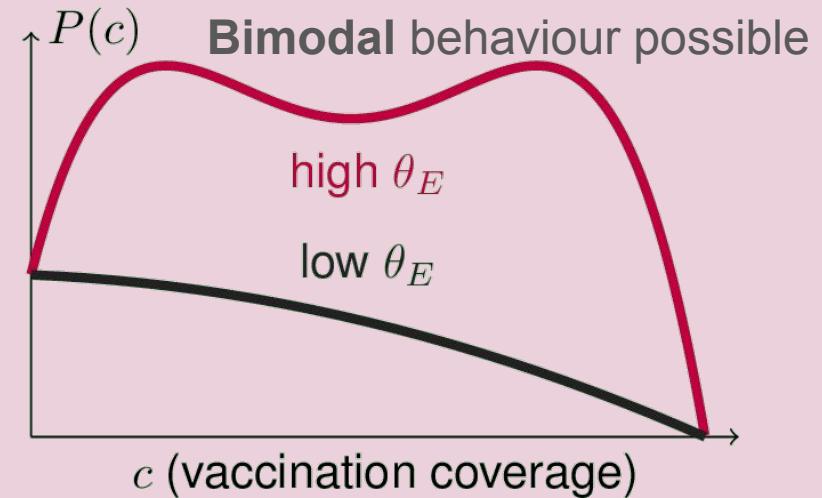
Immunocompromised infections impact the shape of $P(c)$

Escape pressure $P(c)$ at high θ_E depends on vaccination strategy

Vaccinating **first immunocompromised**
(higher within-host selection)

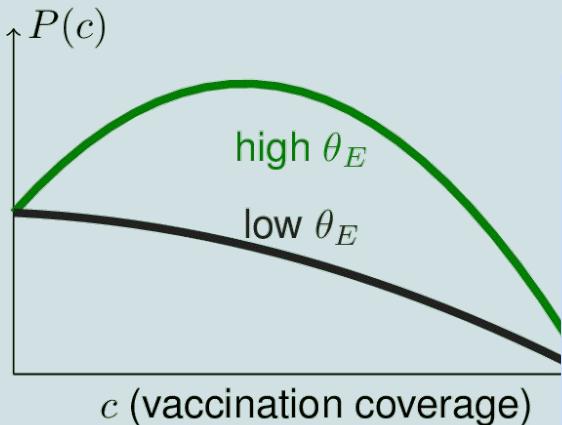


Vaccinating **first healthy host**
(with more contacts)

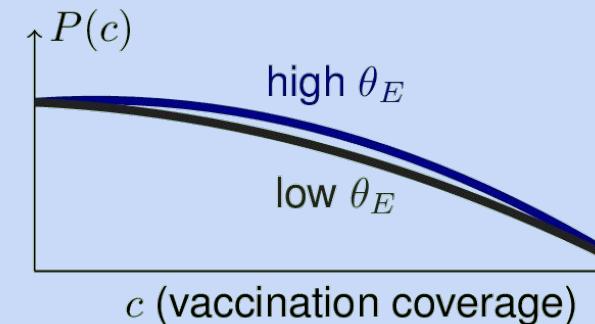


Recap: Possible “shapes” for the escape pressure $P(c)$

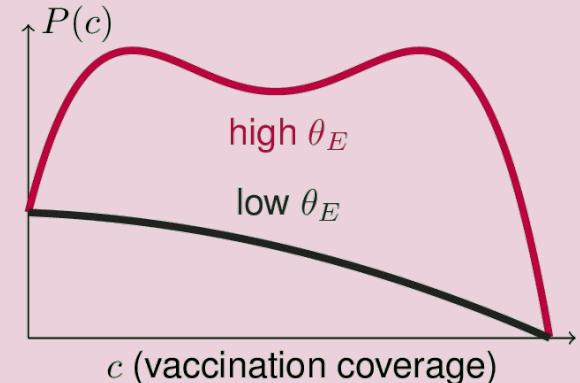
Relative host selection is key.



The relative protection from infection vs vaccines matters.



With immunocompromised, the vaccination order matters.



1. Vaccine breakthrough infections

Gutierrez & Gog 2023, *J. Theoretical Biology*

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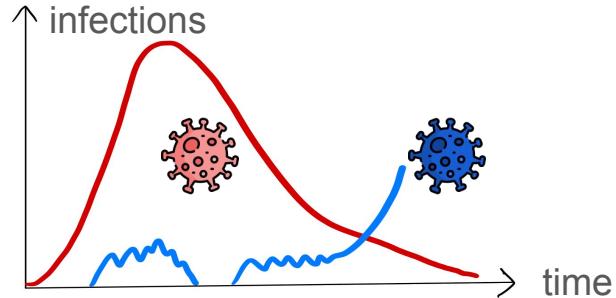
After an escape mutant appears, can it spread & emerge?

Stochastic dynamics for early mutant spread

Emergence probability $p(t)$ changes with time:
Few hosts remain susceptible late in the epidemic

Escape pressure rate
for appearance and emergence

Mutant strain appears during **wildtype** epidemic (no effect on wildtype spread)

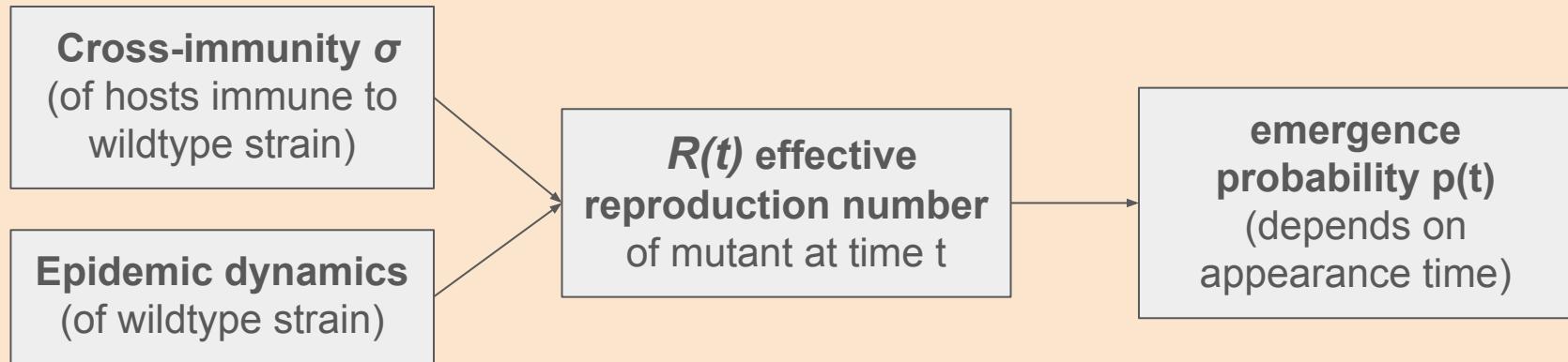


Emergence probability
for mutants that appear at time t

$$P(t) = (I_U(t) + \theta_E I_V(t)) p(t)$$

Rate at which mutants
appear (as before)

Time-inhomogeneous emergence branching process



Susceptible depletion (from wildtype strain)

→ traditional branching process (e.g., $p=1-1/R$) not valid

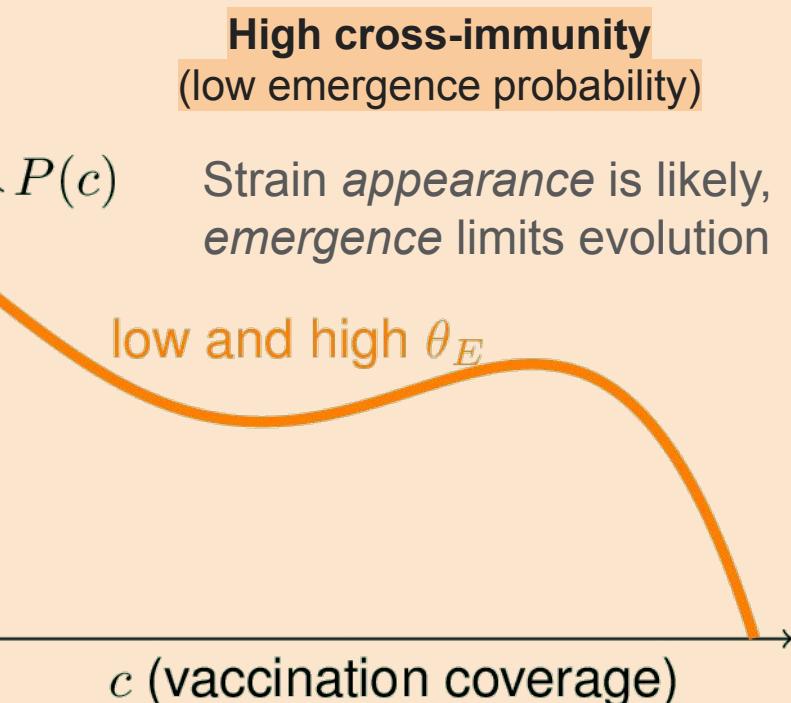
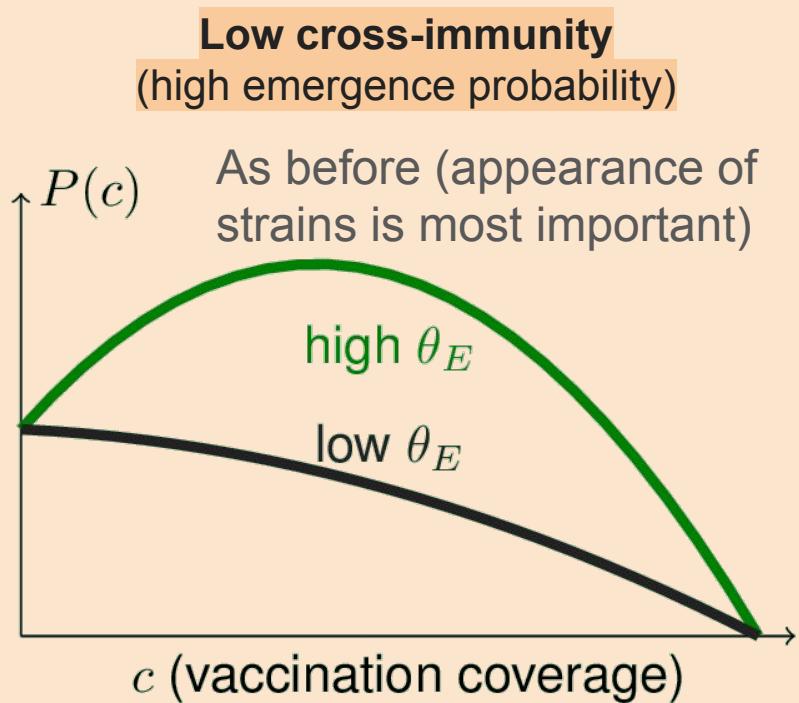
→ a **time-dependent transmission rate $R(t)$** in “birth-death” process

$$\dot{p} = p(1 - (1 - p)R(t))$$

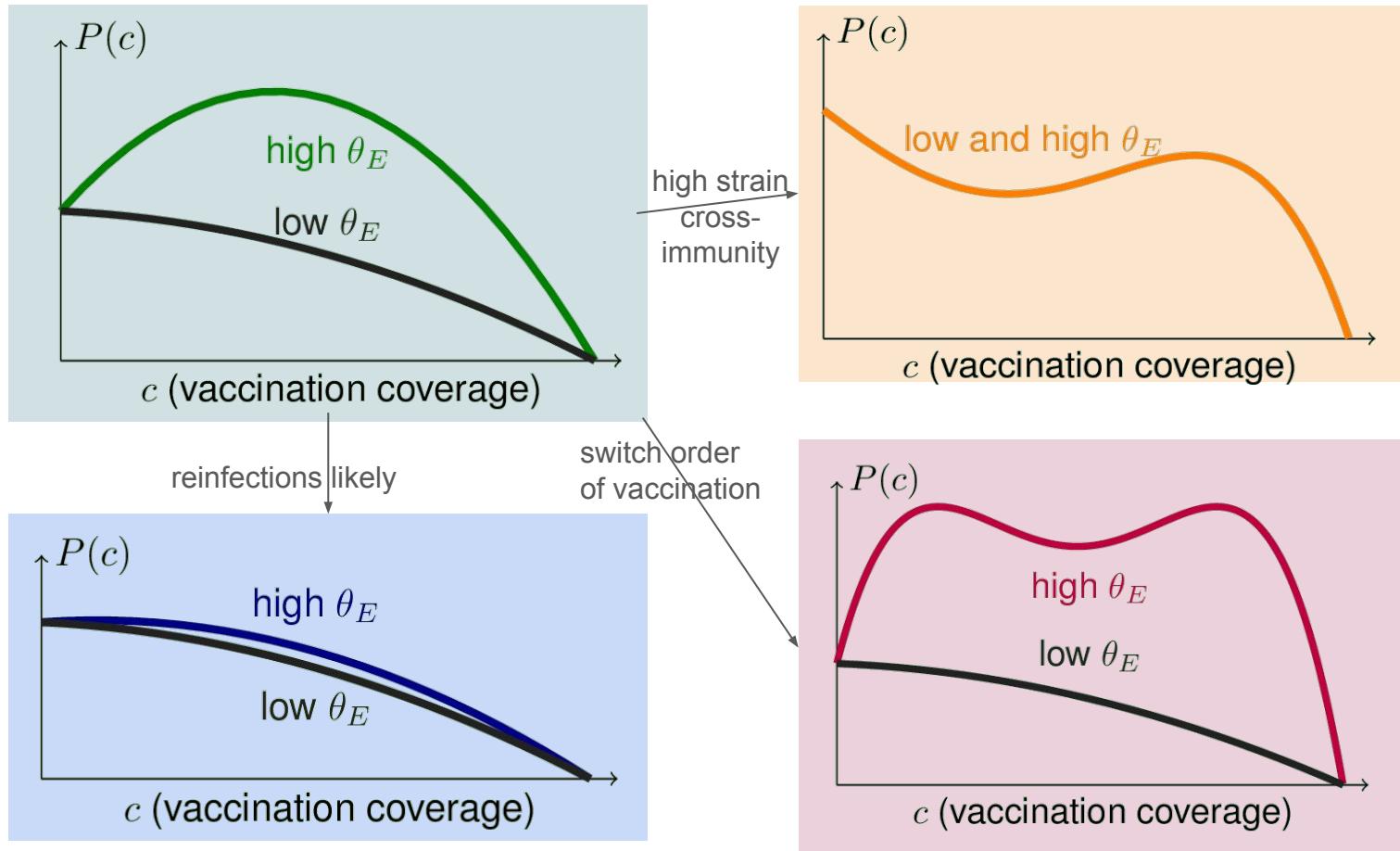
(Recent preprint with similar approach for $p(t)$: Gandon et al, 2024)

High cross-immunity leads to new behaviours

The shape of the **cumulative escape pressure $P(c)$** depends on the **cross-immunity σ** between the wildtype and mutant strains.



Recap: Possible “shapes” for the escape pressure $P(c)$



Goals for today:

1. Do intermediate vaccination levels always lead to the **highest risk of vaccine escape?** **Sometimes, but not for all scenarios.**
2. If so, might the risk of vaccine escape result in **more total infections** at intermediate vaccination levels?

1. Vaccine breakthrough infections

Gutierrez & Gog 2023, *J. Theoretical Biology*

2. Partial immunity & reinfections

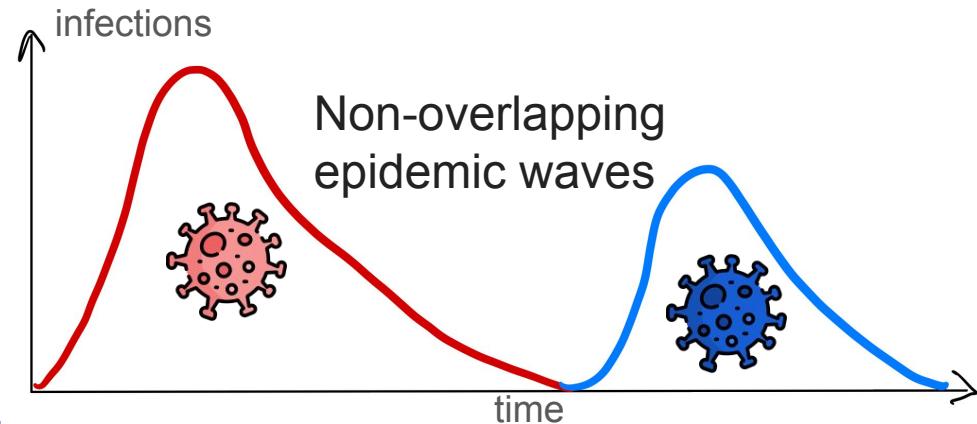
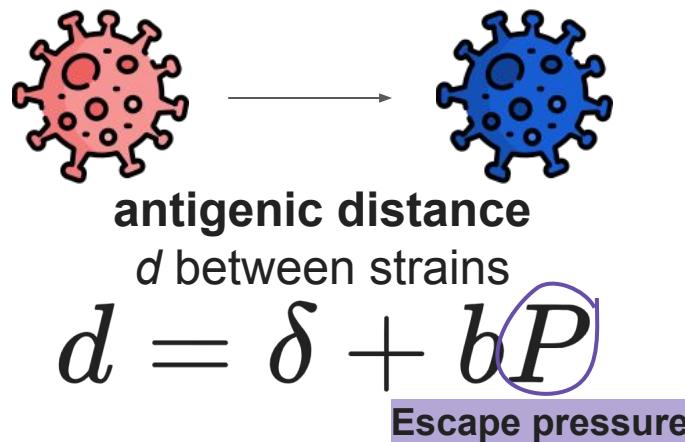
4. Stochastic emergence

Unpublished work, in preparation for PhD thesis

3. Infections in vulnerable hosts

5. Impact of escape strain

What are the consequences of a high escape pressure?



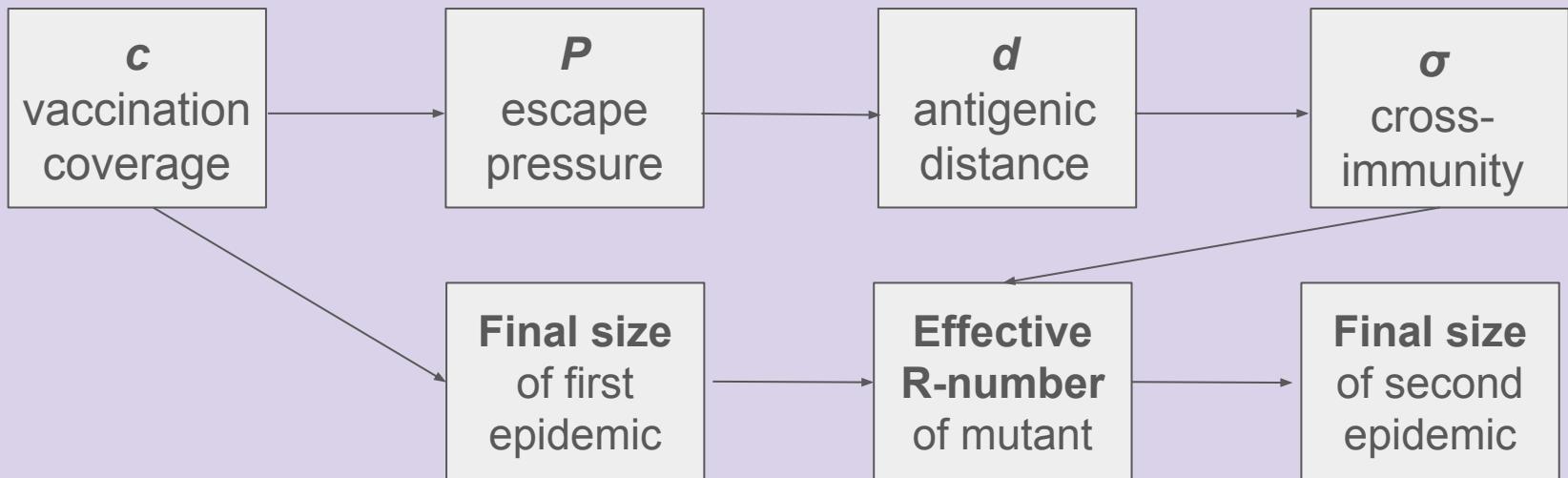
$P = C_U + \theta_E C_V$ so the **antigenic distance d is linear on the infections** in each group, weighted by θ_E (relative selection in vaccinees vs unvaccinated)

The antigenic distance determines the **cross-immunity**

$$\sigma = \exp(-ad)$$

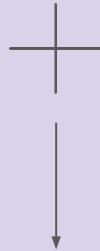
Boni et al 2004

Cross-immunity σ between strains decays exponentially with the antigenic distance



Total infections C as a function of vaccination coverage c

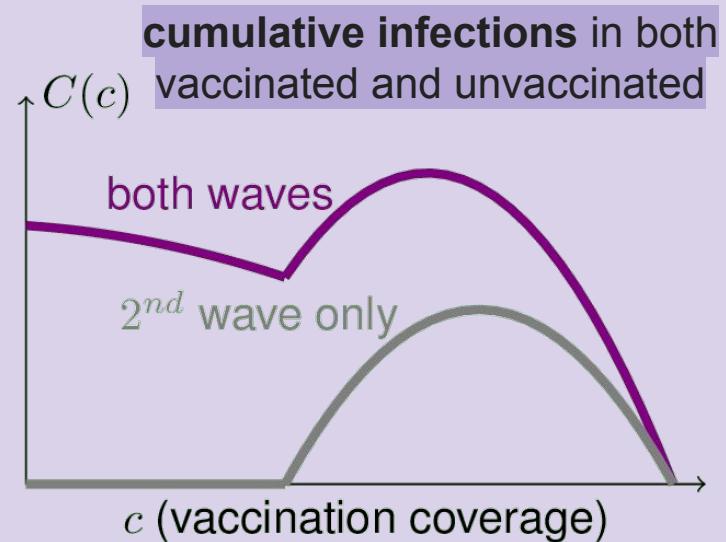
1st wave:
decreasing



2nd wave:
unimodal
(high θ_E)

Total infections (both waves):

1. initially decreasing (no 2nd wave)
2. increasing as 2nd wave becomes possible
3. local maximum at intermediate vaccination
4. decreases for large vaccination coverages

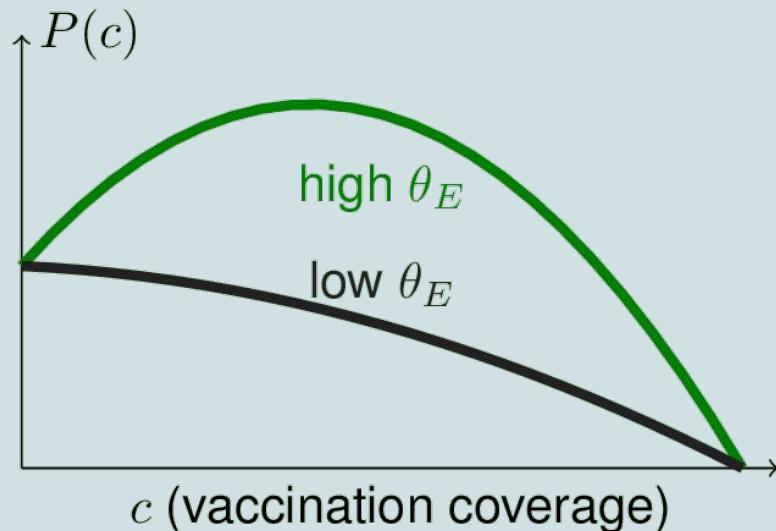


Caveat: slightly different overall balance depending on the drift rate a for the cross-immunity $\sigma = \exp(-ad)$

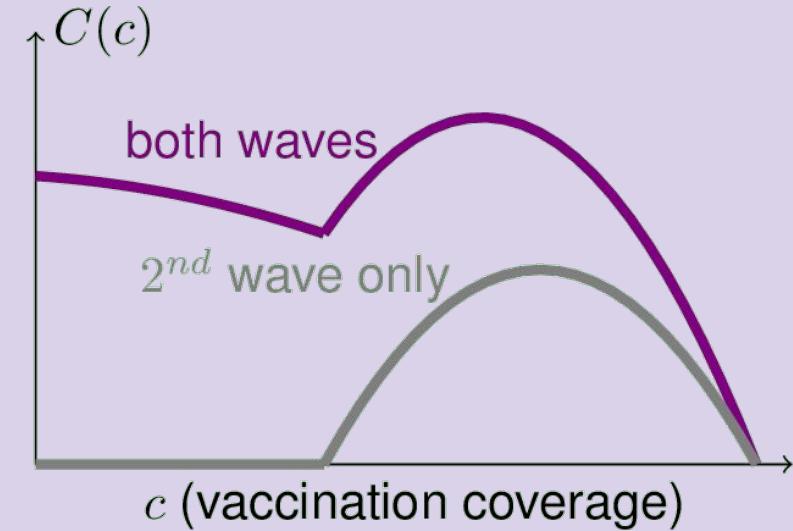
Summary: other phylodynamic shapes are possible, but

Escape risk and total infections may be highest at intermediate vaccination.

Total escape pressure from a single epidemic wave, without escape strain.



Total infections including a second epidemic wave with an escape strain.



Escape risk and total infections may be highest at intermediate vaccination.

Goals for today:

1. Do intermediate vaccination levels always lead to the **highest risk of vaccine escape?** Sometimes yes, but not for all scenarios.
2. If so, might the risk of vaccine escape result in **more total infections** at intermediate vaccination levels? Yes, but we can find a reasonable tradeoff.

