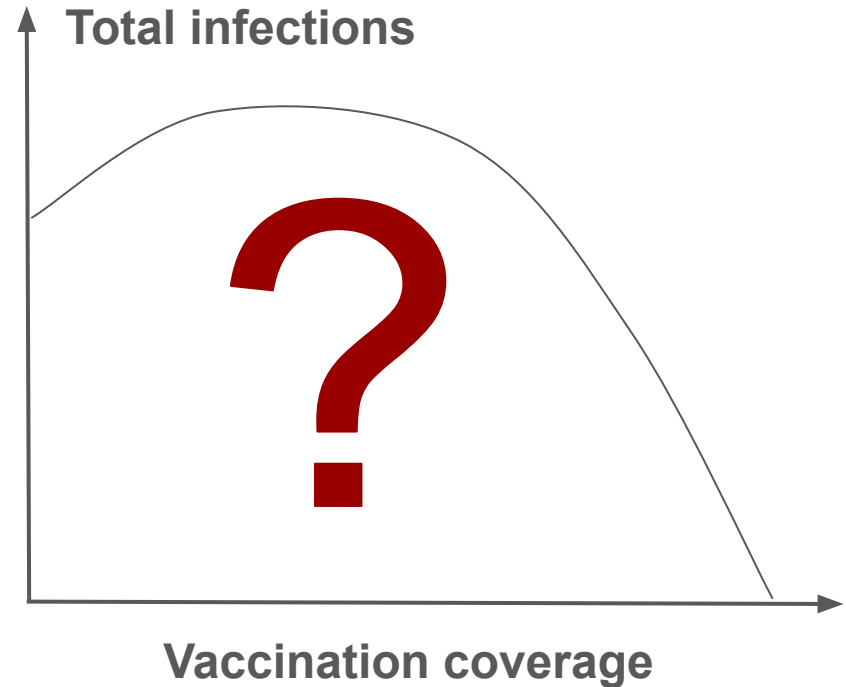
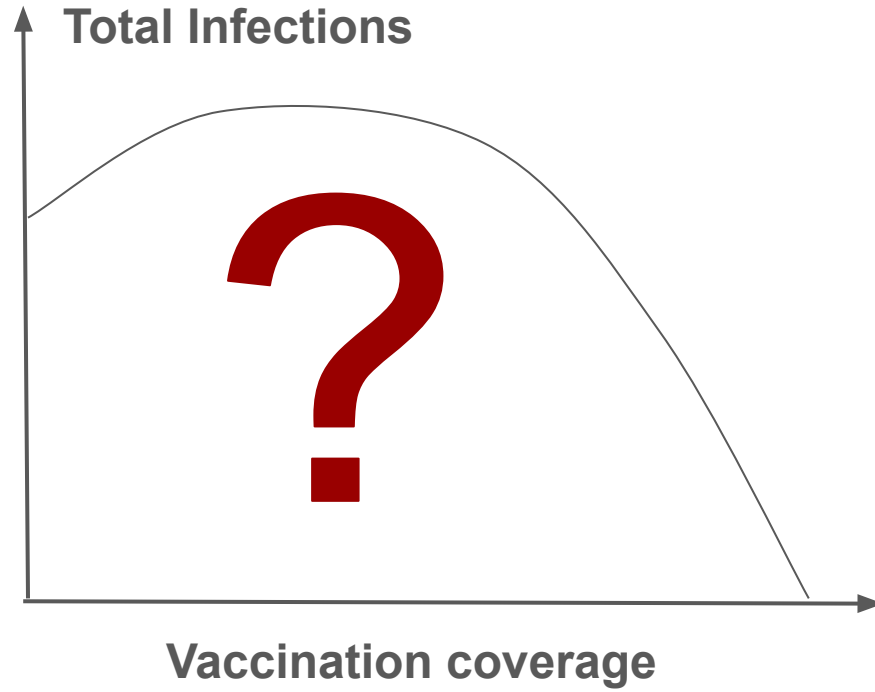
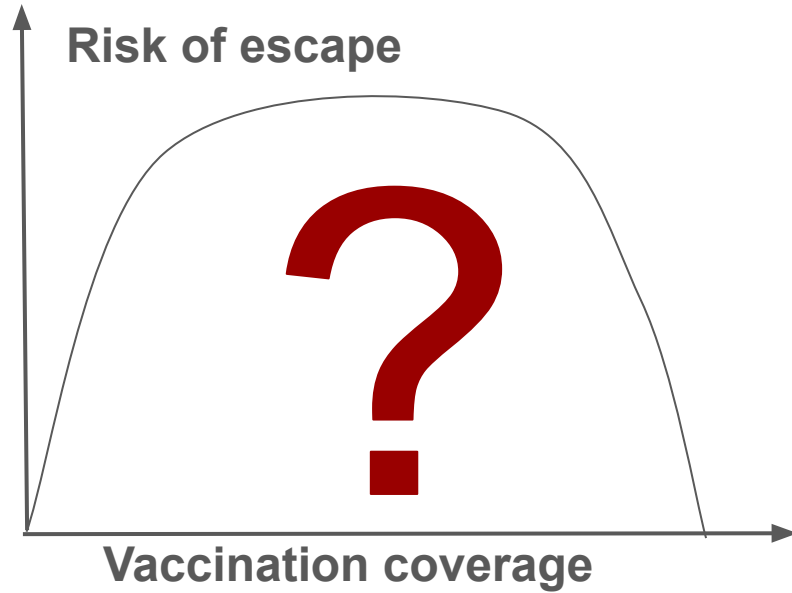


# Modelling **vaccine escape** in a population

**Maria A. Gutierrez**  
University of Cambridge







## Vaccine escape in a heterogeneous population insights for SARS-CoV-2 from a simple model

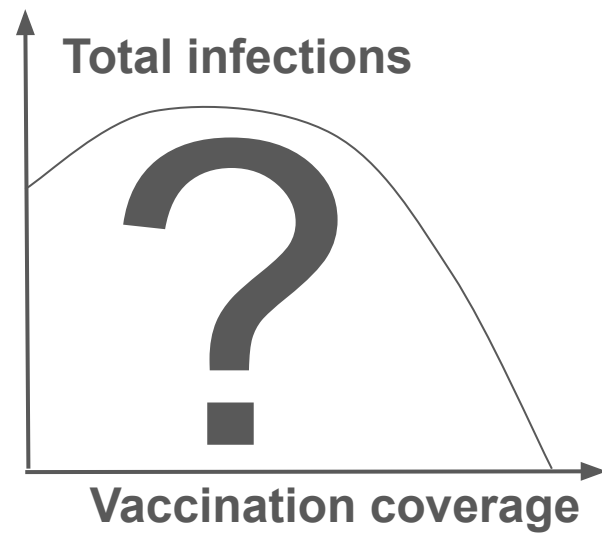
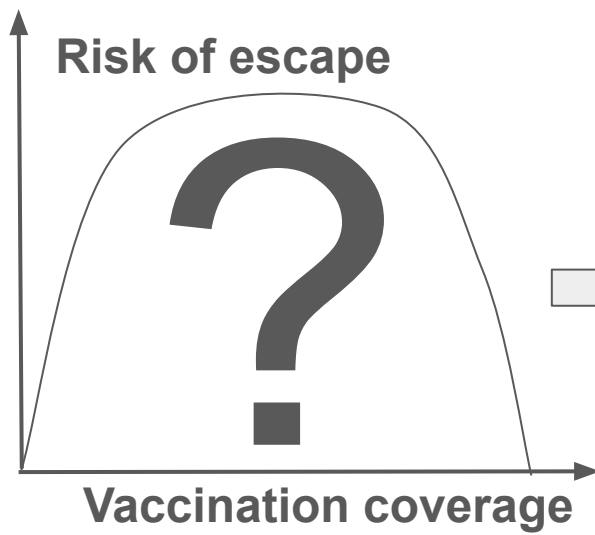
Julia R. Gog<sup>1,2</sup>, Edward M. Hill<sup>2,3,4,5</sup>, Leon Danon and Robin N. Thompson<sup>2,3,4</sup>

## Epidemiological and evolutionary considerations of SARS-CoV-2 vaccine dosing regimes

Chadi M. Saad-Roy<sup>1\*</sup>, Sinead E. Morris<sup>2</sup>, C. Jessica E. Metcalf<sup>3,4</sup>, Michael J. Mina<sup>5</sup>, Rachel E. Baker<sup>3</sup>, Jeremy Farrar<sup>7</sup>, Edward C. Holmes<sup>8</sup>, Oliver G. Pybus<sup>9</sup>, Andrea L. Graham<sup>3</sup>, Simon A. Levin<sup>3</sup>, Bryan T. Grenfell<sup>3,4,10\*</sup>, Caroline E. Wagner<sup>11\*</sup>

## Rates of SARS-CoV-2 transmission and vaccination impact the fate of vaccine-resistant strains

Simon A. Rella<sup>1</sup>, Yuliya A. Kulikova<sup>2</sup>, Emmanouil T. Dermizakis<sup>3</sup> & Fyodor A. Kondrashov<sup>1</sup>



### Goals for today:

1. Do intermediate vaccination levels always lead to the **highest risk of vaccine escape**?
2. If so, might the risk of vaccine escape result in **more total infections** at intermediate vaccination levels?

# Modelling vaccine escape in a population



Final-year of PhD  
with **Julia Gog**

Slides at

[mariaalegriagutierrez.com/talks](https://mariaalegriagutierrez.com/talks)

Questions/comments/feedback:

[mag84@cam.ac.uk](mailto:mag84@cam.ac.uk)

I'm looking for a **postdoc position:**

from Summer/Fall 2025

(evolutionary epidemiology and/or  
infectious disease math-modelling)

# 1. Vaccine breakthrough infections

Gutierrez & Gog 2023, *J. Theoretical Biology*

2. Partial immunity  
& reinfections

4. Stochastic  
emergence

Unpublished work, in preparation for PhD thesis

3. Infections in  
vulnerable hosts

5. Impact of  
escape strain

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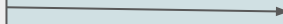
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# Simplified scales of selection

not explicitly within-host,  
but vaccination status matters!



generation of strains,  
(emergence not yet)



$$P(t) \propto (I_U(t) + \theta_E I_V(t))$$

Escape pressure      infections in unvaccinated hosts      **Relative selection in vaccinated hosts**      infections in vaccinated hosts

Others use just  $I_V$  or  $I_U$  or a fixed linear combination.

Gog et al 2021, Saad-Roy et al 2021, Thompson et al 2021, Rella et al 2021, Zhang et al 2022

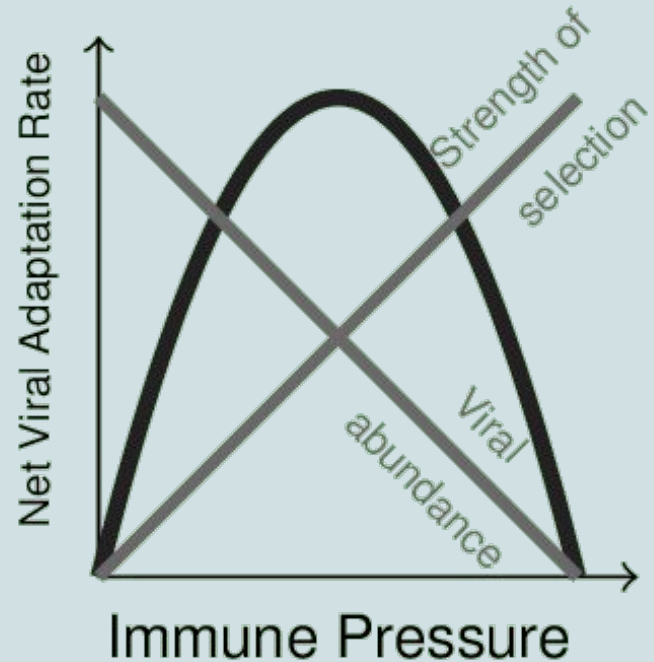


## Within-host selection by vaccination status

$$P(t) = I_U(t) + \theta_E I_V(t)$$

$$\theta_E > 1?$$

If infected, who  
is more likely to  
generate an  
escape strain?  
Vaccinated or  
unvaccinated?



Grenfell et al, *Science* 2004

# Transient SIR epidemic wave

**vaccination coverage  $c$ :**  
vaccines given before outbreak,  
permanent partial immunity  
against infection  $\theta_S$  and transmission  $\theta_I$

Initial  
conditions

$$S_U(0) = 1 - c$$

$$S_V(0) = c\theta_S$$

Polarised  
protection  
against infection

$$\dot{S}_U = -S_U\lambda$$

Force of infection

$$\dot{S}_V = -S_V\lambda$$

$$\lambda = R_0(I_U + \theta_I I_V)$$

reduced  
transmissibility

$$\dot{I}_U = S_U\lambda - I_U$$

$$\dot{I}_V = S_V\lambda - I_V$$

SIR dynamics

Further assumptions:  
well-mixing,  
homogeneity,  
no reinfections,  
constant  $R_0$ ,  
not time-since-infection,  
same infectious period,  
no births and deaths.

# Analytical final-size solution leads to escape pressure

Initial effective R-number  $R_e = R_0 (1 - c(1 - \theta_S \theta_I))$

vaccine transmission-blocking

Same ratio vaccinated:unvaccinated through all compartments

$$(S_V, I_V, R_V) = \frac{c\theta_S}{1-c} (S_U, I_U, R_U)$$

Integrated escape pressure  $P = \int_0^\infty (I_U + \theta_E I_V) dt = C_U + \theta_E C_V$

...similar to standard SIR final-size

Cumulative final-sizes

$$P = (1 - c(1 - \theta_S \theta_E))(1 + R_e^{-1} W(-R_e e^{-R_e}))$$

“escape-blocking factor”

Lambert W function

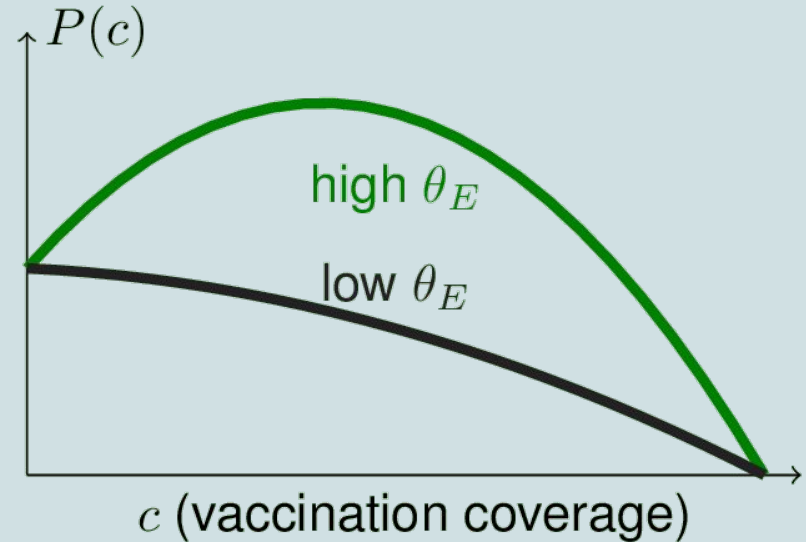
Escape pressure  $P$  as a function of vaccination coverage  $c$

$$P = C_U + \theta_E C_V$$

Behaviour of  $P$  depends on the relative escape contribution of vaccinees,  $\theta_E$

- **Unimodal** if  $\theta_E$  above threshold
- Decreasing if  $\theta_E$  below threshold

$P$  always decreases to zero if vaccination coverage is near herd immunity threshold



Gutierrez and Gog, 2023, *JTB*

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# Are **reinfections** important for vaccine escape?

Before:  $P = C_U + \theta_E C_V$

Now: separate hosts by immune status

Escape pressure

$$P = C_N + \theta_E C_P$$

Infections in  
immunologically  
naïve hosts

Infections in hosts with  
**partial immunity**

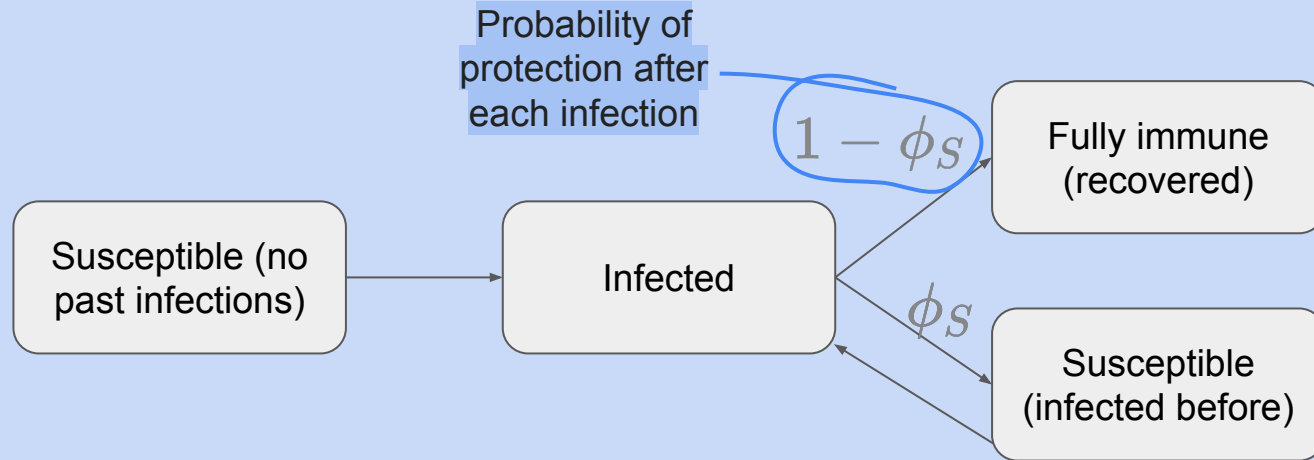
Relative selection in  
partially immune hosts

**Within-host selection** for escape might also be stronger (or weaker) **in reinfections**, relative to naïve hosts, due to **partial immunity** in the host.

**Grouped together in  $C_P$ :**  
reinfections in unvaccinated  
& all infections in vaccinated

In general, could have different relative selection instead of all  $\theta_E$

# SIR with **lifelong partial immunity** from infections



Same epidemic dynamics for vaccinated

As before:  $1 - \theta_S$  probability of protection after vaccination  
(All hosts equally infectious, allows to find  $P(c)$  analytically)

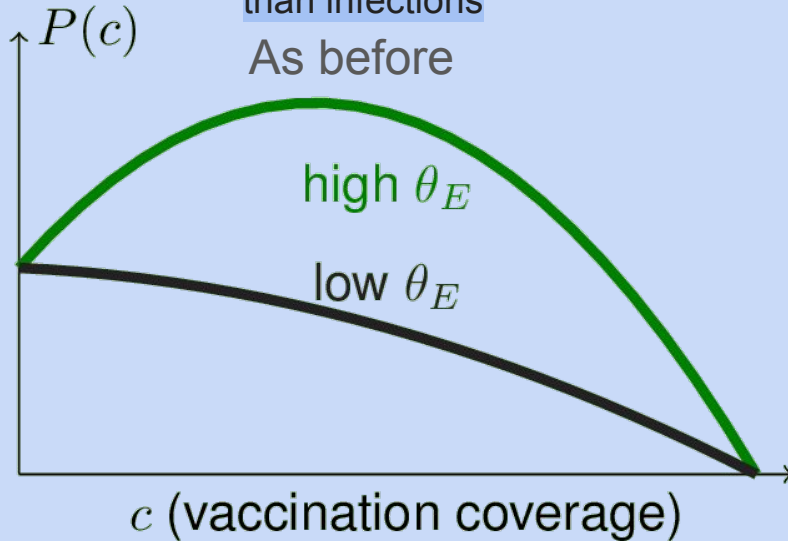
# Different behaviour possible if reinfections are likely

Escape pressure  $P(c)$  depends on relative protection from vaccines vs infections

$$\theta_S > \phi_S$$

Vaccines *less* protective  
than infections

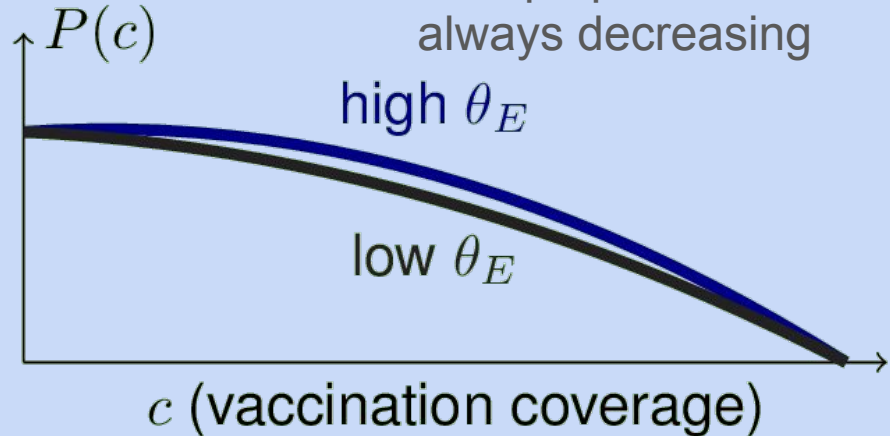
As before



$$\theta_S < \phi_S$$

Vaccines *more* protective  
than infections

Escape pressure  
always decreasing





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# How do immunocompromised hosts affect escape?

**Immunocompromised hosts** (chronic infections)  
are likely source of antigenic evolution (eg, Omicron)

Split population in **two groups**,  
with different levels of selection.

(In general, could do  $n > 2$  groups)

Before:

$$P = C_U + \theta_E C_V \quad \text{Relative selection } \alpha > 1$$

Now:

in immunocompromised hosts

Escape  
pressure

Infections in “**healthy**” hosts

Infections in **immunocompromised** hosts

$$P = C_{U,H} + \theta_E C_{V,H} + \alpha (C_{U,I} + \theta_E C_{V,I})$$

Same factor  $\theta_E$  for infections in vaccinees

# SIR dynamics with **population heterogeneity**

**Assortative mixing**

→ Analytic final-size

$$\begin{bmatrix} m^2 & m \\ m & 1 \end{bmatrix}$$

Relative **contact rate**  $m > 1$   
in healthy hosts

Contact matrix

Vaccinating first healthy hosts with more contacts  
might be best to reduce overall mortality (Gog 2021)

**Different vaccination coverages**  $c_H, c_I$  for each group

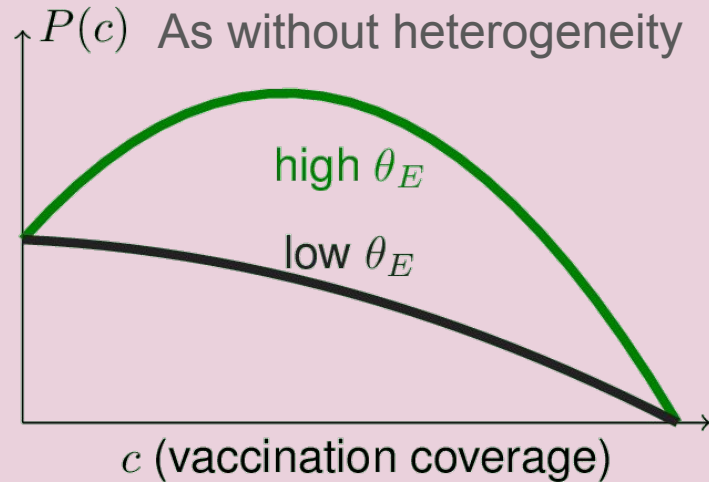
Escape pressure  $P(c)$  depends on total coverage  $c = c_H + c_I$

**But order of vaccination matters!**

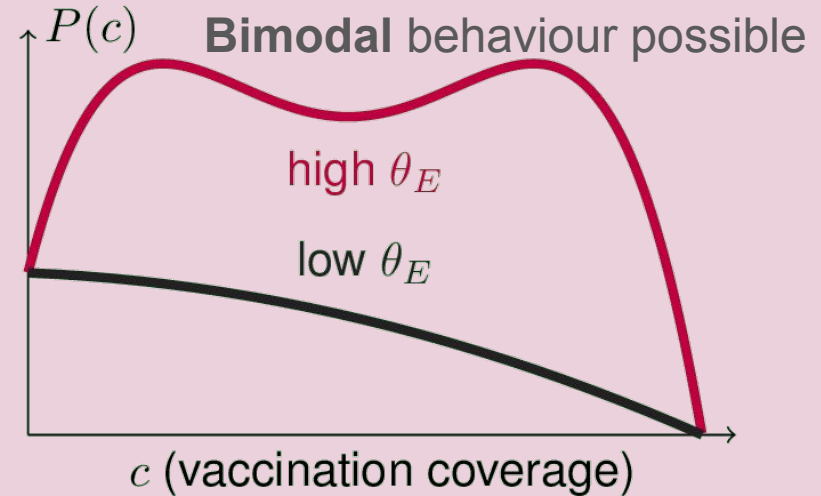
# Immunocompromised infections impact the shape of $P(c)$

Escape pressure  $P(c)$  at high  $\theta_E$  depends on vaccination strategy

Vaccinating **first immunocompromised**  
(higher within-host selection)



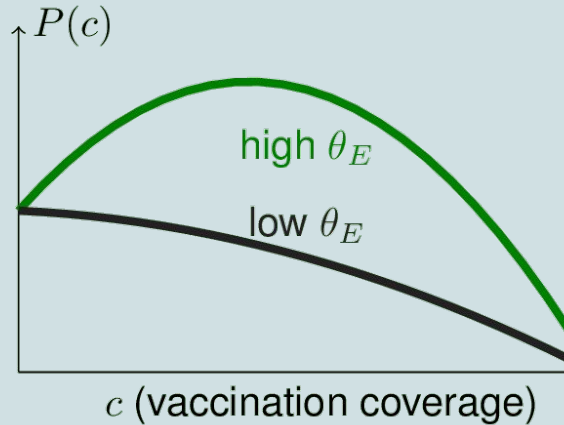
Vaccinating **first healthy host**  
(with more contacts)



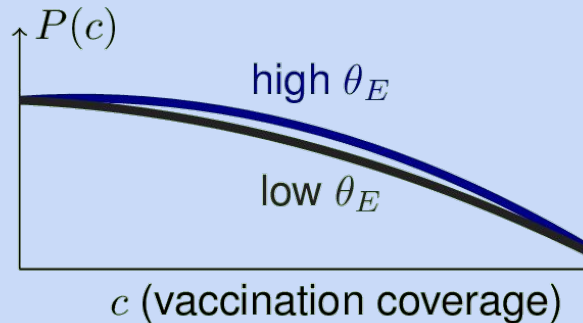
## Recap:

### Possible “shapes” for the escape pressure $P(c)$

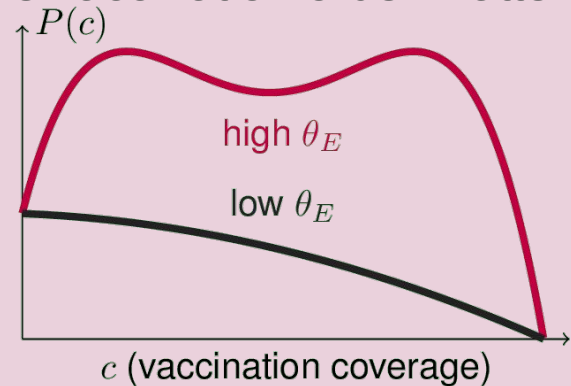
Relative host selection is key.



The relative protection from infection vs vaccines matters.



With immunocompromised, the vaccination order matters.



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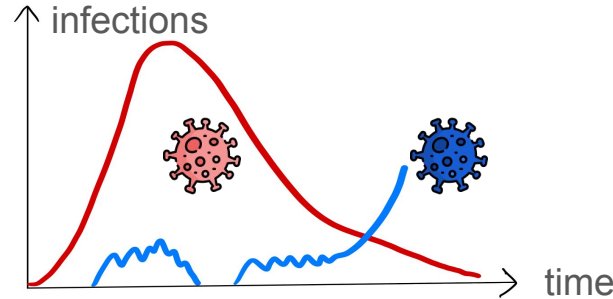
# After an escape mutant *appears*, can it spread & emerge?

**Stochastic dynamics** for early mutant spread

**Emergence probability  $p(t)$  changes with time:**  
Few hosts remain susceptible late in the epidemic

**Escape pressure rate**  
for appearance and emergence

**Mutant strain appears during wildtype epidemic** (no effect on wildtype spread)

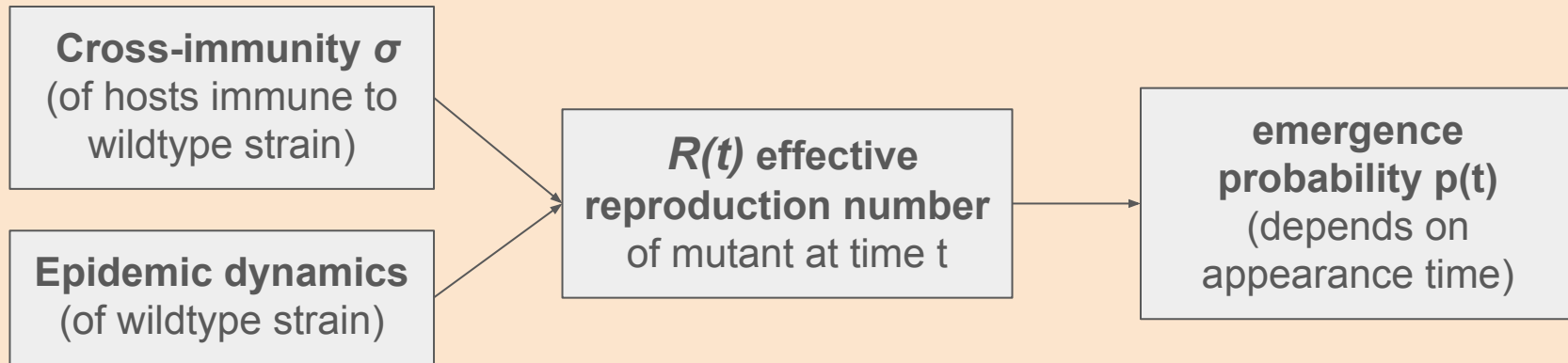


**Emergence probability**  
for mutants that appear at time  $t$

$$P(t) = (I_U(t) + \theta_E I_V(t)) p(t)$$

Rate at which mutants  
appear (as before)

# Time-inhomogeneous emergence branching process



**Susceptible depletion** (from wildtype strain)

→ traditional branching process (e.g.,  $p=1-1/R$ ) not valid

→ a **time-dependent transmission rate  $R(t)$**  in “birth-death” process

$$\dot{p} = p(1 - (1 - p)R(t))$$

(Recent preprint with similar approach for  $p(t)$ : Gandon et al, 2024)

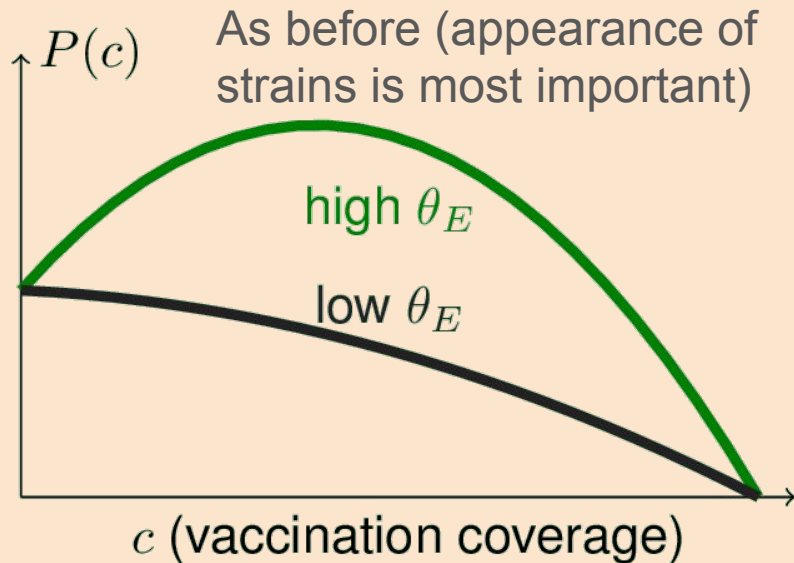


# High cross-immunity leads to new behaviours

The shape of the **cumulative escape pressure**  $P(c)$  depends on the **cross-immunity**  $\sigma$  between the wildtype and mutant strains.

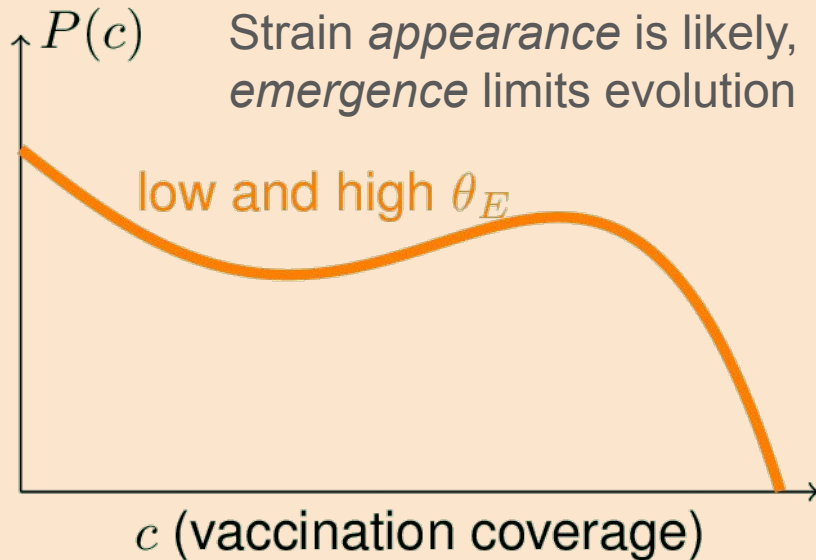
## Low cross-immunity

(high emergence probability)

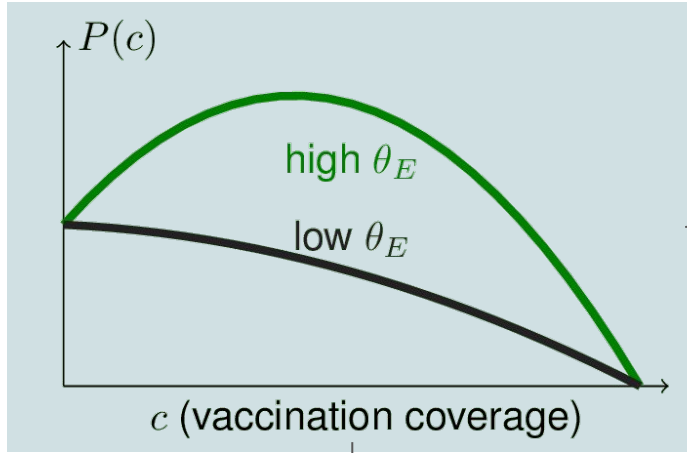


## High cross-immunity

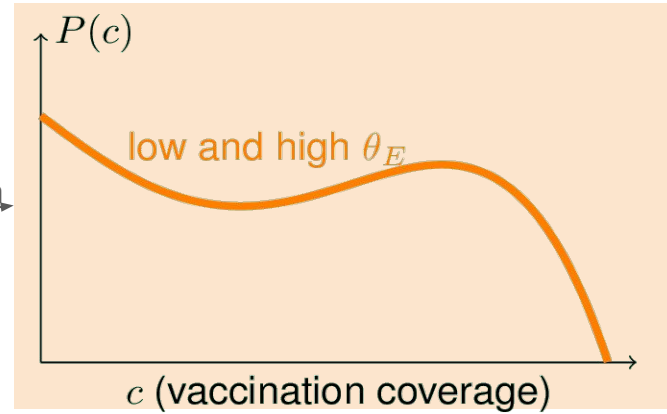
(low emergence probability)



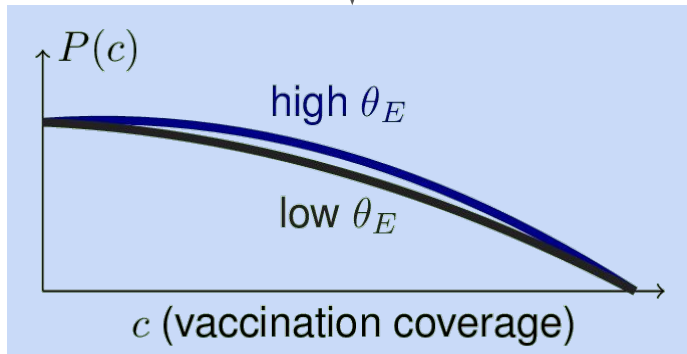
# Recap: Possible “shapes” for the escape pressure $P(c)$



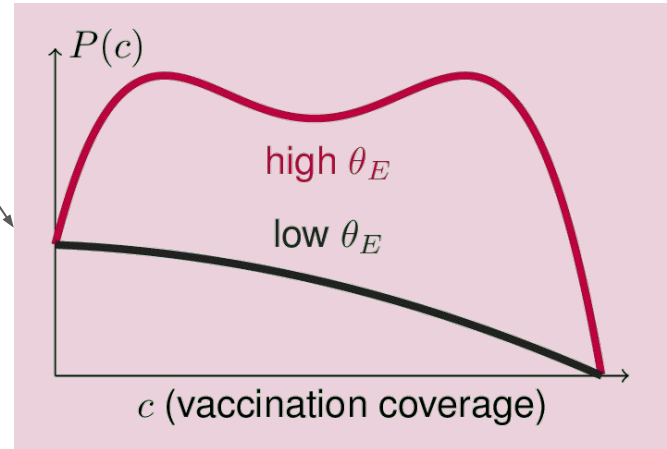
high strain  
cross-  
immunity



reinfections likely



switch order  
of vaccination



### Goals for today:

1. Do intermediate vaccination levels always lead to the **highest risk of vaccine escape**? **Sometimes, but not for all scenarios.**
2. If so, might the risk of vaccine escape result in **more total infections** at intermediate vaccination levels?

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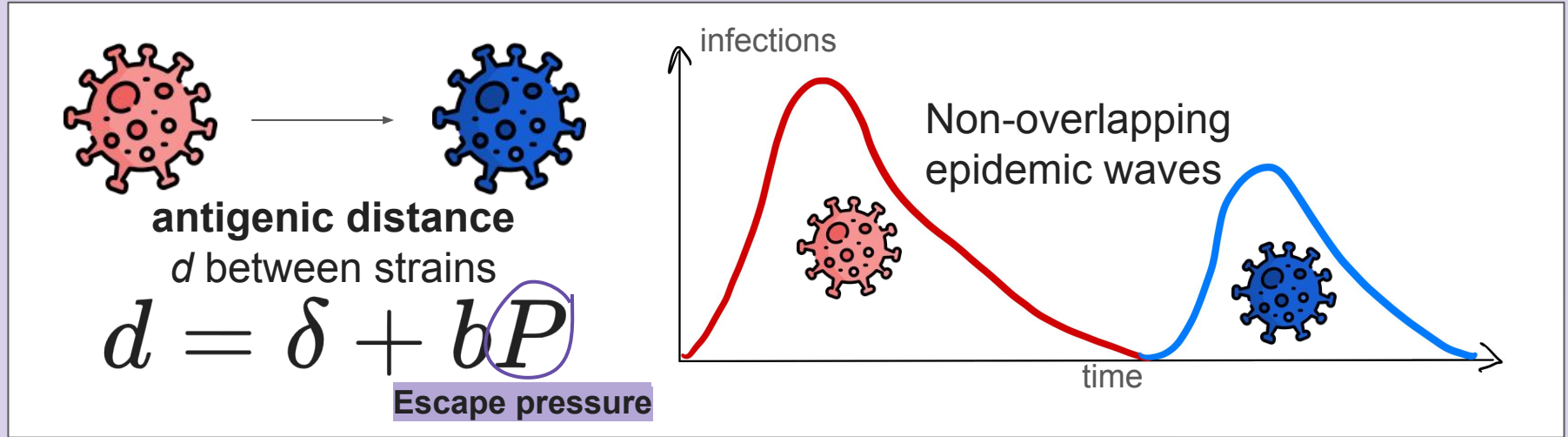
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# What are the consequences of a high escape pressure?

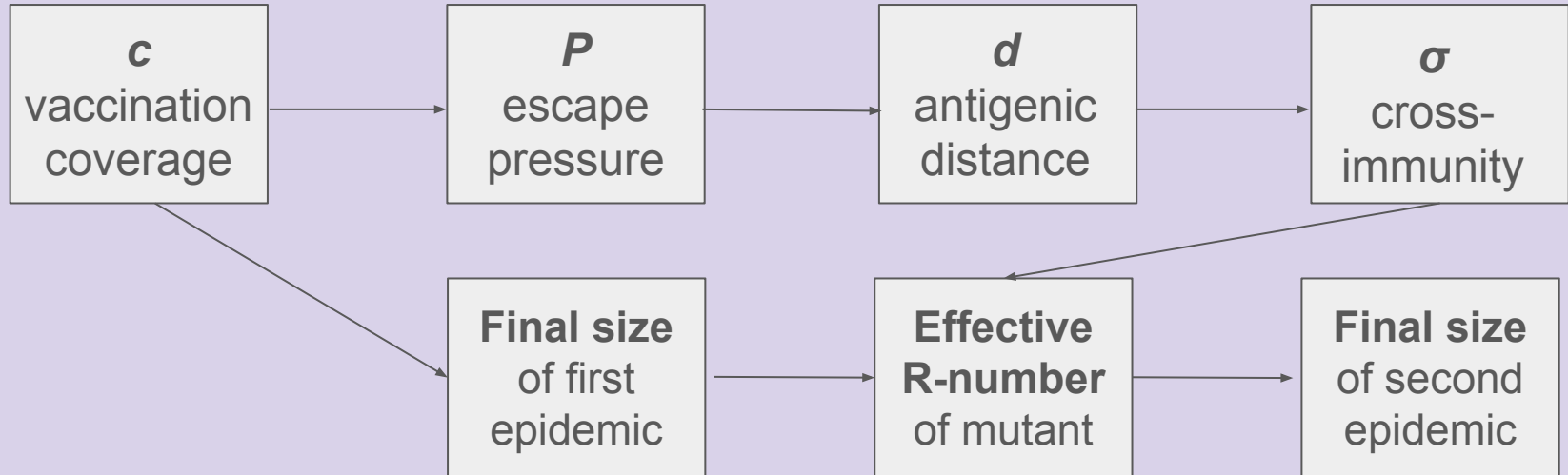


$P = C_U + \theta_E C_V$  so the antigenic distance  $d$  is linear on the infections in each group, weighted by  $\theta_E$  (relative selection in vaccinees vs unvaccinated)

# The antigenic distance determines the cross-immunity

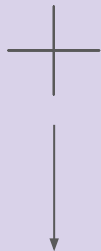
$\sigma = \exp(-ad)$  Cross-immunity  $\sigma$  between strains decays exponentially with the antigenic distance

Boni et al 2004



# Total infections $C$ as a function of vaccination coverage $c$

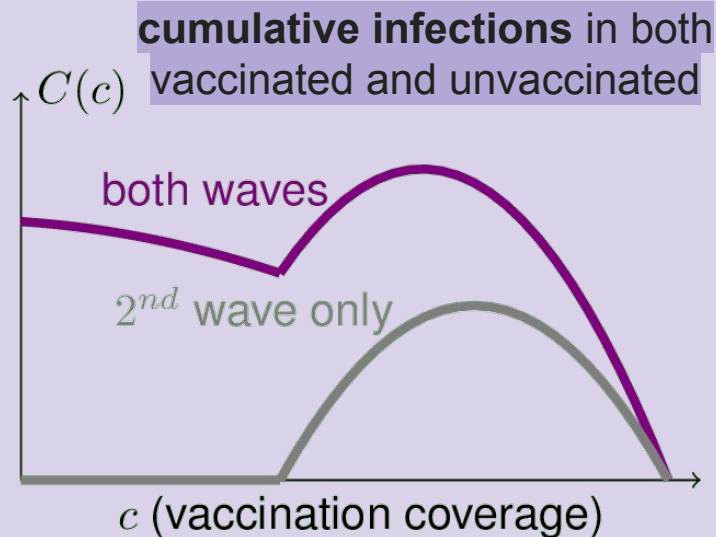
**1st wave:**  
decreasing



**2nd wave:**  
unimodal  
(high  $\theta_E$ )

## Total infections (both waves):

1. initially decreasing (no 2nd wave)
2. increasing as 2nd wave becomes possible
3. local maximum at intermediate vaccination
4. decreases for large vaccination coverages

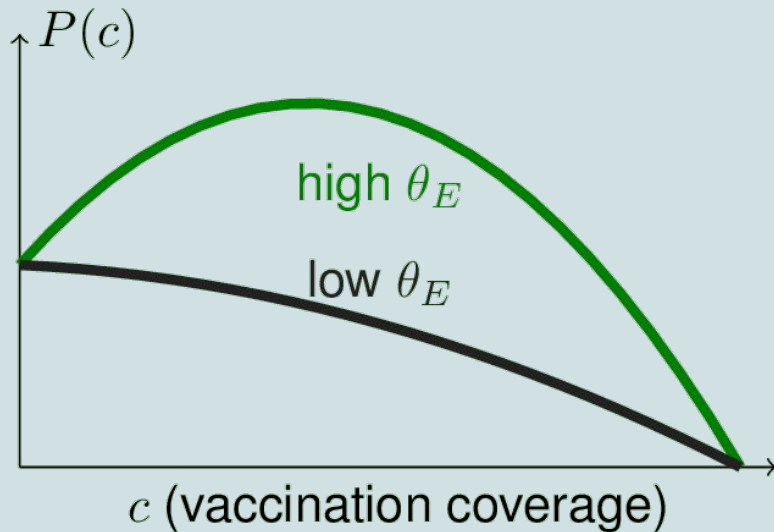


Caveat: slightly different overall balance depending on the drift rate  $a$  for the cross-immunity  $\sigma = \exp(-ad)$

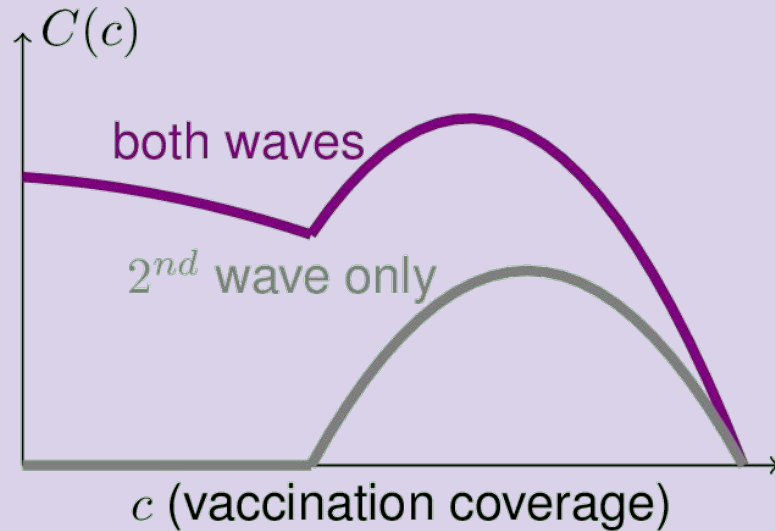
**Summary:** other phylodynamic shapes are possible, but

**Escape risk and total infections may be highest at intermediate vaccination.**

Total **escape pressure** from a single epidemic wave, without escape strain.



Total **infections** including a second epidemic wave with an escape strain.





## Escape risk and total infections may be highest at intermediate vaccination.

### Goals for today:

1. Do intermediate vaccination levels always lead to the **highest risk of vaccine escape**? Sometimes yes, but not for all scenarios.
2. If so, might the risk of vaccine escape result in **more total infections** at intermediate vaccination levels? Yes, but we can find a reasonable tradeoff.

